

FINANCIAL ADVISORY & INTERMEDIARY SERVICES ACT NO. 37 2002 “FAIS” DISCLOSURE NOTICE

General

Singular Systems (Pty) Ltd (“the FSP”) is an authorised Category I Financial Services Provider in terms of the Financial Advisory and Intermediary Services (FAIS) Act 2002, with license number 44330. A certified copy of the license is available upon request. Singular Systems is an intermediary services provider.

Details of the FSP

Name: Singular Systems (Pty) Ltd
Physical Address: 25 Scott Street,
Waverley, 2090 Postal Address: PO Box
785261, Sandton, 2146 Telephone
Number: 011 321 5400
Fax Number: 011 321 5602

Compliance with the Financial Advisory Services Act “FAIS”

The compliance of Singular Systems with the FAIS Act is monitored by :

FAIS Compliance Officer: Liza Hietbrink of ComplyEeze, a licensed Compliance Practice, CO 1245

FAIS Compliance Officer Contact Details: 082 389 0076 or email liza@complyeeze.co.za or fax 086 645 2578

Financial services the FSP is authorised to provide in terms of FAIS

The FSP is authorised to provide intermediary services in Category I Subcategory 1.8: ‘Shares and Instruments: Shares’.

Details of the financial services provided by the FSP

Singular Systems provides intermediary financial services, including share trading and verification services. Fees will go up by the average percentage increase of the Consumer Price Index every year on the 1st of January.

Confidentiality

Where applicable, all information will be kept by Singular Systems on a confidential basis and will not be made available to third parties by Singular Systems unless so authorised by its clients beforehand or if Singular Systems is required to divulge such information in the public interest or under any law.

Conflicts of Interest

In accordance with Singular Systems’ conflicts of interest management policy, Singular Systems places a high priority on its clients’ best interests. As conflicts of interest affecting clients could undermine the integrity and professionalism of our business, any instances must be identified as early as possible. If conflict situations cannot be avoided, they must be managed equitably and in the client’s interest. Detecting potential or recognised conflicts of interest that could compromise the interest of its clients and managing and limiting the impact of conflicts of interest therefore constitute an integral part of Singular Systems’ duties and obligations.

Potential conflicts of interest are inherent in any business and therefore it is not the aim of Singular Systems to avoid all conflicts but rather to take steps to identify and manage conflicts of interest to ensure that our clients are not unduly prejudiced. Singular Systems has no shareholding in any product supplier.

Complaints

In the event that you are dissatisfied with any aspect of our service, you may contact our offices at 25 Scott Street, Waverley, 2090. Should you wish to pursue a complaint against an Authorised Representative of Singular Systems, you should address your complaint in writing to Roger Fuller-Good, a registered key person of Singular Systems, again at 25 Scott Street, Waverley, 2090. If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that may have been given to you.

Contact details of the Ombud

Telephone: +27 12 762 5000 / +27 12 470 9080

Facsimile: +27 86 764 1422 / +27 12 348 3447

E-mail Address:

info@faisombud.co.za Website:

www.faisombud.co.za

Physical Address: Sussex Office Park, Ground Floor, Block B, 473 Lynnwood Road Cnr Lynnwood Road & Sussex Ave, Lynnwood, 0081 Postal Address: PO Box 74571, Lynnwood Ridge 0040

Professional Indemnity-, Fidelity Insurance or Guarantees

Singular Systems holds professional indemnity insurance underwritten by Mutual & Federal.

Financial Intelligence Centre Act (FICA)

Please note that in terms of the Financial Intelligence Centre Act (FICA), Singular Systems is obliged to report to the authorities any suspicious and unusual transactions that may facilitate money laundering to the authorities.